STATE OF CALIFORNIA-OFFICE OF ADMINISTRATIVE For use by Secretary of State only NOTICE PUBLICATION/REGUL REGULATORY ACTION NUMBER
2015-0428-61,FP OAL FILE NOTICE FILE NUMBER EMERGENCY NUMBER NUMBERS ENDORSED - FILED For use by Office of Administrative Law (OAL) only In the office of the Secretary of State of the State of California 2015 APR 28 PM 5: 00 MAY 2.7 2015 OFFICE OF 2:05 PM REGULATIONS AGENCY FILE NUMBER (If any) AGENCY WITH RULEMAKING AUTHORITY hat iletretion A. PUBLICATION OF NOTICE (Complete for publication in Notice Register) 1. SUBJECT OF NOTICE FIRST SECTION AFFECTED 2. REQUESTED PUBLICATION DATE TITLE(S) 3. NOTICE TYPE
Notice re Proposed FAX NUMBER (Optional) TELEPHONE NUMBER 4. AGENCY CONTACT PERSON Other Regulatory Action OAL USE ACTION ON PROPOSED NOTICE PUBLICATION DATE NOTICE REGISTER NUMBER Approved as Approved as Submitted Disapproved/ ONLY B. SUBMISSION OF REGULATIONS (Complete when submitting regulations) 15. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER(S) 1s, SUBJECT OF REGULATION(S) Conflict of Interest Code Z-2014-1216-12 2. SPECIFY CALIFORNIA CODE OF REGULATIONS TITLE(S) AND SECTION(S) (Including title 26, if toxics related) SECTION(S) AFFECTED (List all section number(s) AMEND individually. Attach 7400 additional sheet if needed.) REPEAL TITLE(S) 3. TYPE OF FILING Regular Rulemaking (Gov. Certificate of Compliance: The agency officer named Emergency Readopt (Gov. Changes Without Regulatory Code §11346) below certifies that this agency complied with the Code, §11346.1(h)) Effect (Cal. Code Regs., title Resubmittal of disapproved or provisions of Gov. Code §§11346.2-11347.3 either 1, §100) withdrawn nonemergency before the emergency regulation was adopted or File & Print Print Only filing (Gov. Code §§ 11349,3, within the time period required by statute. 11349.4) Resubmittal of disapproved or withdrawn Emergency (Gov. Code, Other (Specify) emergency filing (Gov. Code, §11346.1) §11346.1(b)) 4. ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING FILE (Cal. Code Regs. title 1, §44 and Gov. Code \$11347.1) 5. EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100) Effective January 1, April 1, July 1, or Effective on filing with §100 Changes Without | ≥ | Enective other (Specify) 30 days after filing per 2 CCR 18750(I) October 1 (Gov. Code §11343.4(a)) Regulatory Effect Secretary of State 6. CHECK IF THESE REGULATIONS REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY  $|\mathbf{x}|$ Fair Political Practices Commission State Fire Marshal Department of Finance (Form STD, 399) (SAM \$6660) Other (Specify) E-MAIL ADDRESS (Optional) CONTACT PERSON TELEPHONE NUMBER FAX NUMBER (Optional) Shelly Risbry 916-445-4466 shelly.risbry@dor.ca.gov For use by Office of Administrative LevisoAL) only ENDORSED APPROVEDIOAL) I certify that the attached copy of the regulation(s) is a true and correct copy of the regulation(s) identified on this form, that the information specified on this form is true and correct, and that I am the head of the agency taking this action, MAY 27 2015 or a designee of the head of the agency, and am authorized to make this certification. SIGNATURE OF AGENCY HEAD OR DESIGNEE Office of Administrative Law Kelly Hargreaves, Chief Counsel

# Title 9. Rehabilitative and Developmental Services Division 3. Department of Rehabilitation

All proposed changes to Section 7400 of Title 9 of the California Code of Regulations are reflected below in underline for added text and strikeout for deleted text.

#### § 7400. Conflict\_-of\_-Interest Code.

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 California Code of Regulations Section 18730) which contains the terms of a standard conflict\_—of\_-interest code and may be incorporated by reference in an agency's code. After public notice and hearing it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendix designating officials and employees and establishing disclosure categories, shall constitute the Conflict\_—of\_-Interest Code of the Department of Rehabilitation (Agency).

Designated employees positions shall file statements of economic interests with the Agency. Upon receipt of the statements of the Director, the agency shall make and retain a copy and forward the original to the Fair Political Practices Commission. Statements for all other designated employees positions will be retained by the Agency and made available for public inspection and reproduction, upon request (Gov. Code Section 81008).

NOTE: Authority cited: Sections 81008, 87300, 87306, Government Code.

Reference: Section 87302, Government Code.

# Appendix

Designated Position	Disclosure Category
Director	1 <u>,6</u>
Administrative Assistant	1
Chief Deputy Director	1 <u>,6</u>
Assistant Director, Legislation and External Affairs	1
Information Officer II, Public Affairs Office	1,6
Chief Counsel, Legal Affairs and Regulations	1 <u>,6</u>
Staff Counsel III (Specialist) Attorney (All Levels),	•
Legal Affairs and Regulations	1
Staff Counsel, Legal Affairs and Regulations	1
Staff Services Analyst and/or Associate Governmental F	<del>'rogram</del>
Analyst, Legal Affairs and Regulations	2,3
Chief, Office of Civil Rights (Staff Services Manager II)	1
Associate Governmental Program Analyst-(s), Office	
of Civil Rights	2,3
Chief, Audit Services (Supervising Senior Management A	auditor) 1
Auditors (all classifications), Audit Services	1
Executive Secretary, Rehabilitation Appeals Board	1
Rehabilitation Appeals Board	1
State Rehabilitation Council Member and Ex-Officio Mer	mber 1
Executive Officer, State Rehabilitation Council	1

### **Employment Preparation Services Division-South**

## Vocational Rehabilitation Policy and Resources Division

Deputy Director	1 <u>,6</u>
Assistant Deputy Director	1,6
Rehabilitation Administrator I (Specialist), Regional Program	1
Manager	
District Administrators II, Rehabilitation Administrators II	1
Senior Vocational Rehabilitation Counselors	1
Rehabilitation Specialist (s)	1
Vocational Psychologists	1
Rehabilitation Supervisors	1
Office Services Supervisors (II and III)	2,3,4
Assistant Deputy Director, Community Programs Support and	
Development Branch (CPSD)	1
Chief, Staff Services Manager II, Community Resources Development	
Section (CRDS)	1
Community Resource Development Specialists 2	<del>2,3,6</del>
Associate Governmental Program Analyst, Grants Management	
Coordinator (CRDS)	—6
Associate Administrative Analysts, Accounting Systems	1
Staff Services Manager I, Community Resources Development	
Section (CRDS)	1
Chief, Staff Services Manager II, Program Policy Implementation Unit	1
Staff Services Manager I Medical Services Unit	1

Chief Medical Consultant	1
Medical-Consultants-(Including (Contract Consultants)	<del>5</del> 4
Statewide Medical Services Coordinator, Associate Governmental	
Program Analyst	<del>4,5,6</del>
Staff Services Manager I, Centralized Services	
Associate Governmental Program Analyst (s), Centralized	
Services	2,3,4
Associate Governmental Program Analyst, Statewide Supported	,
Employment Coordinator	<del>2,3,4</del>
Rehabilitation Administrator I (Supervisor), Mobility Evaluation Unit	1
Senior and Associate Rehabilitation Engineering Consultant (s)	2,3,65
Mobility-Evaluation Specialist (s)	<del>2,3</del>
Adaptive Driver Evaluation Specialist (s)	2,3
Employment Preparation Services Division-North Central	
Deputy Director	1
Rehabilitation Administrator I, (Specialist) Regional Program Manage	<del>r 1</del>
District Administrators II, Rehabilitation Administrators II	1
Senior Vocational Rehabilitation Counselors	1
Rehabilitation Specialist (s)	1
Vocational Psychologists	<del>1</del> .
Rehabilitation Supervisors	1
Office Services Supervisors (II and III)	<del>-2,3,4</del>
Assistant Deputy Director, Collaborative Services/Workforce	
Development Branch	1

Rehabilitation Administrators (Statewide Coordinator of Education;	
Social Security; Business Partnership; or Mental Health Programs)	
Employment Program Specialists, Workforce Development Section	<del>-2,3</del>
Staff Services Manager I, Workforce Development/Interagency	
LinkagesUnit	4
Associate Governmental Program Analyst (s), Workforce	
Development/ Interagency Linkages Unit	<del>2,3</del>
Cooperative Programs Section	
Chief, Staff Services Manager II, Transition Services Unit	1
Associate Governmental Program Analyst (s), Transition Services	
Unit —	<del>2,3</del>
Staff Services Manager I, Cooperative Education Program Unit	1
Associate Governmental Program Analyst (s), Cooperative Education	
Program Unit	<del>-2,3</del>
Staff Services Manager I, Human Services Cooperative	
Program Unit Mental Health Cooperative Programs Unit	1
Associate Governmental Program Analyst(s), Human Services Coope	<del>rative</del>
Program Unit	<del>-2,3</del>
Vocational Rehabilitation Employment Division	
Deputy Director	1,6
Assistant Deputy Director	<u>1,6</u>
Staff Services Manager III, Regional Manager	1,6
Staff Services Manager II, Customer Services Section	1
Chief Staff Services Manager II Workforce Development Unit	1

Medical Consultant	4
Statewide Medical Policy Analyst, Associate Governmental	
Program Analyst	4
Rehabilitation Administrator I (Supervisor), Mobility Evaluation Unit	1,6
Senior and Associate Rehabilitation Engineering Consultant	2,3,5
Mobility Evaluation Specialist	2,3
Adaptive Driver Evaluation Specialist	2,3
District Administrator, Staff Services Manager II	1,6
Staff Services Manager I	1
Team Manager, Staff Services Manager I	1
Rehabilitation Supervisor	. 1
Senior Vocational Rehabilitation Counselor, Qualified Rehabilitation	
Professional (SVRC, QRP)	1
Senior Vocational Rehabilitation Counselor (SVRC)	1
Supervising Program Technician II	1
Rehabilitation Specialist	1
Psychologist (including Vocational and Consulting Psychologist)	1
Staff Services Manager I - District Operations	1
Specialized Services Division, Blind and Visually Impaired and	
Deaf and Hard of Hearing	
Deputy Director	1 <u>,6</u>
Assistant Deputy Director, Specialized Services Operations	1,6

Program Manager <u>, Staff Services Manager I,</u> for the Deaf, Services	
to the Deaf and Hard of Hearing Unit Services	<u>1</u>
Assistant Program Administrator	<del>. 1</del>
Staff Services Manager I, Services to the Deaf and Hard of Hearing	1
Associate Governmental Program Analyst (s), Services to the	
Deaf and Hard of Hearing Unit	2,3,6
Program Coordinator Services to the Deaf and Hard of	
Hearing Unit	1
ChiefProgram Manager, Staff Services Manager II, Business	
Enterprises Program (BEP)	1 <u>,6</u>
Assistance Program Administrator (BEP)	1
Staff Services Manager I, Business Enterprises Program (BEP)	1
Business Enterprises Consultant II (Supervising)	1
Assistant Manager (BEP)	1
Senior Architect (BEP)	2,4
Business Enterprises Consultant I and II (BEC)	1
Associate Governmental Program Analyst (BEP)	<del>2,4</del>
Staff-Services Analyst (BEP)	2,4
Specialist, California Vendors Policy Committee	2,3,4
California Vendors Policy Committee Member	1
Administrator, Staff Services Manager II, Orientation Center for the	
Blind (OCB) <del>, SSM-II</del>	• 1
Team Manager, Staff Services Manager I, Blind Field Services	1
Senior Vocational Rehabilitation Counselor, Qualified Rehabilitation	
Professional (SVRC, QRP), (OCB)	<del>2</del> 1

Business Services OfficerStaff Services Manager I, Orientation	
Center for the Blind (OCB)	<u>21</u>
Program Manager District Administrator, Staff Services Manager II,	
Blind Field Services, Services to the Blind and Visually Impaired	
(Rehabilitation Administrator II)	1 <u>,6</u>
Assistant Program Manager, Services to the Blind and Visually	
Impaired, Rehabilitation Administrator I	<del>3,6</del>
Associate Governmental Program Analyst Services to the Blind and	
Visually Impaired	3
Staff Services Manager I – District Operations, Blind Field Services	1
Blind Field Services Program Rehabilitation Administrator II	1
Rehabilitation Supervisor, Blind Field Services Program	1
Senior Vocational Rehabilitation Counselor (SVRC), Blind Field	
Services <del>Program</del>	1
Senior Vocational Rehabilitation Counselor, Qualified Rehabilitation	
Professional (SVRC, QRP), Blind Field Services	1
Rehabilitation Specialist, Blind Field Services Program	1
Associate Governmental Program Analyst-(s), Blind Field Services	3
Rehabilitation Administrator I, Older Individuals who are Blind (OIB)	1
ndependent Living and External Affairs DivisionCommunity Access	i -
<u>Division</u>	
Deputy Director	1 <u>,6</u>
Chief, Staff Services Manager II, Independent Living (IL), and Assistive	
Technology (AT) and Disability Access Section	1

Grants Coordinator, Associate Governmental Program Analyst	6
Community Resource Development Specialist (IL)	-2,3,4,6
Chief, Staff Services Manager I, Disability Access-Section	
Services (DAS)	1
Training Officers I and II (DAS)	<del>2,3,6</del>
Associate Governmental Program Analyst (s), (DAS)	<del>2,3,6</del>
Information Officer II, Public Affairs Office	1
Chief, Client Assistance Program	1
Executive Officer, California Committee on Employment of People	
with Disabilities	1
Committee Member and Ex-Officio Member, California Committee of	<u>on</u>
Employment of People with Disabilities	1
Administrative Services Division	
Deputy Director	1 <u>,6</u>
Administrative Assistant	1
Chief, Staff Services Manager III, Human Resources Branch, SSM	₩ 1
Labor Relations Specialist, Labor Relations Office	. 1
ManagerChief, Staff Services Manager II, Staff Development	
Section, SDS/Diversity Office	1
Training Officers I and II, SDS	2,3
Associate Governmental Program Analyst (s), SDS	<del>2,</del> 3
Chief, Staff Services Manager II, Personnel Services Section	
(Personnel)	1

Staff Services Manager I, Personnel	
Personnel Supervisor I	2,3
Associate Personnel Analyst, Personnel	2,3
Information Security Officer	1
Operations and Accountability Officer	1
Chief, Staff Services Manager II, Management Analysis and	
Review Section	1
Staff Services Manager I, Planning Unit	1
Chief, Financial Management Branch	1
Chief, Accounting Services, Accounting Administrator II (Supervisor),	
Accounting Services	1
Accounting Administrator I (All Levels)	1
Accountant I, Supervisor	<del>2,</del> 3
Accounting Officer (Supervisor)	<del>2,3</del>
Senior Accounting Officer (Specialist)	2,3
Senior Accounting Officer (Supervisor)	<del>2,3</del>
Associate Accounting Analyst	<del>2,3</del>
Chief, Staff Services Manager II, Budgets, Fiscal Forecasting and	
Research Section <del>, SSM II</del>	1
Associate Budget Analyst (s), Budgets and Monitoring	<del>2,3</del>
Research Program Specialist <del>(s)</del> II	2,3
Staff Services Analyst	2,3
Chief, Staff Services Manager II, Business Services Section (SSM II)	—1 <u>,6</u>
Assistant Chief, Staff Services Manager I, Business Services	
Section	16

Telecommunications Systems Analyst II	<del>2</del>
Business Services Officer I (Specialist)	<del>2</del>
Business Services Officer I (Supervising)	2,4
Business Services Officer II (Supervising)	<del>2,4</del>
Business Services Officer III	<del>2,4</del>
Associate Business Management Analyst	2,4
Associate Governmental Program Analysts, Facility Manager,	
Business Services Section	2,3
Business Services Assistant	2
Chief, Staff Services Manager II, Contracts and Procurement/Vehicle	
Purchase and Modification Program Section, SSM II	1
Staff Services Manager I, Contracts and Procurement Section	1
Associate Governmental Program Analyst, Contract and	
Procurement Section	2
Staff Services Analyst, Contract and Procurement Section	2
Rehabilitation Administrator I, Vehicle Purchase and Modification	
Program	1
Staff Services Manager I, Planning Unit	1
Staff Services Analyst/Associate Governmental Program	
Analyst, Planning Unit	<del>2,3,6</del>
SRC-Executive Officer	1
Chief, Management Resources and Analysis Section	1

# **Information Technology Services Division**

Deputy Director, Chief Information Officer	1,6
Senior Information Systems Analyst (Specialist)	1
Data Processing Manager II, Customer Support (All Levels)	1
Senior Information Systems Analyst (All Levels)	1
Data Processing Manager II, Field Office Support	1
Staff Information Systems Analyst (All Levels), Supervising (Fie	<del>ld</del>
Office Support)	1
Staff Services Analyst (Braille)	1
Associate Information Systems Analyst, Specialist (Field Office	
Support)	2,3
Assistant Information Systems Analyst, (Field Office Support)	<del>-2,3</del>
Information Systems Technician	2,3
Senior Programmer Analyst, (Supervisor) Database Administrat	tion
Unit (DAU)	1
Staff Programmer Analyst (Specialist) (DAU)	1
Associate Programmer Analyst, Specialist (DAU)	2,3
Assistant Information Systems Analyst (DAU)	1
Data Processing Manager II, Technical Services	1
Staff Information Systems Analyst (Supervisor), Network Suppo	ort 1
Systems Software Specialist I and II, Network Support	2,3
Associate Information Systems Analyst Network Support	2,3
Assistant Information Systems Analyst, Network Support	2,3
Programmer I	2,3
Senior Program Analyst (Supervisor), Programming and Analys	sis (PA) 1

Staff Programmer Analyst (Spec), (PA)	1
Associate Programmer Analyst (Spec), (PA)	2,3
Associate Information Systems Analyst, Procurement IT Analyst	1
Associate/Assistant Information Systems Analyst, Telecom IT Analyst	2
Consultants/New Positions*	

\*Consultants/new positions shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The Director may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict\_—of\_-interest code (Gov. Code Section 81008).

#### Disclosure Categories

#### Category 1

All sources of income, including gifts, loans, and travel payments, interests in real property, and investments and business positions in business entities as well as business positions in and income, including gifts, loans, and travel payments, from nonprofit organizations of the type to receive grants or provide services of the type to be utilized by the Department.

A designated position in this category must report investments, positions in business entities, and income (including receipt of gifts, loans, and travel payments) from sources (including nonprofit organizations) of the type:

- (a) to receive grants or financial assistance from or through the Department;
  - (b) to receive technical assistance from the Department;
  - (c) to receive products or services provided by the Department;
- (d) that provide or operate services provided by the Department, including, but not limited to, vocational or rehabilitation services or facilities;
- (e) to provide products or services (including service providers, such as medical providers, office equipment and information technology) to the Department.

For purposes of this conflict of interest code, technical assistance means services and programs provided to entities that either employ or seek to employ people with disabilities

Positions designated in this category must also report any of the economic interest described above that were received from an employee, representative or officer of the business entities or nonprofits described above.

#### Category 2

Investments and business positions in business entities, and sources of income, including gifts, loans, and travel payments, which provide services, supplies, materials, machinery, or equipment of the type to be purchased for the Department's day-to-day operation in the employee's geographic area of responsibility.

A designated position in this category must report investments in, positions in business entities, and income (including receipt of gifts, loans, and travel payments) from sources that provide services, supplies, materials, machinery, or equipment of the type purchased for the Department (including information technology) in the designated position's geographic area of responsibility.

#### Category 3

Investments and business positions in business entities and sources of income, including gifts, loans, and travel payments, as well as income, including gifts, loans, and travel payments, from nonprofit organizations which provide or operate educational, vocational, or rehabilitation services or facilities within the employee's geographic area of responsibility.

A designated position in this category must report investments in, positions in business entities, and income (including receipt of gifts, loans, and travel payments) from sources (including nonprofit organizations) of the type that provide or operate educational, vocational, or rehabilitation services or facilities within the designated position's geographic area of responsibility.

#### Category 4

Interests in real property, investments and business positions in business entities, and sources of income, including gifts, loans, and travel payments, which provide real estate services or engage in the sale of real estate within the employee's geographic area of responsibility.

A designated position in this category must report investments in, positions in business entities, and income (including receipt of gifts, loans, and travel payments) from sources (including nonprofit organizations) of the type that provide medical services of any type in the designated position's geographic area of responsibility.

#### Category 5

Investments and business positions in business entities, and sources of income, including gifts, loans, and travel payments, as well as business positions in, and income, including gifts, loans, and travel payments, from, nonprofit organizations which provide medical services of any type in the employee's geographic area of responsibility.

A designated position in this category must report investments in, positions in business entities and income (including receipt of gifts, loans, and travel payments) from sources (including nonprofit organizations) of the type to receive grants or financial assistance from or through the designated position's geographic area of responsibility.

#### Category 6

Business positions in, and income, including gifts, loans, and travel payments, from, business entities and nonprofit organizations which are of the type to be recipients of grants from the Department of Rehabilitation.

A designated position in this category must report all interests in real property in the State of California of the type to be owned, leased, or rented by the Department.

NOTE: Authority cited: Section 87306, Government Code. Reference: Sections 87300 - 87302 and 87306, Government Code.